

# Recent Progresses in Transfer-based Attack for Image Recognition



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## ◆ Preliminaries

- DNNs are everywhere in our life!

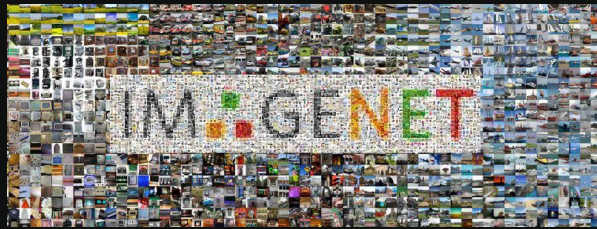
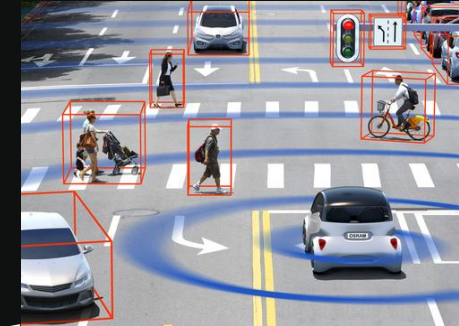


Image Classification



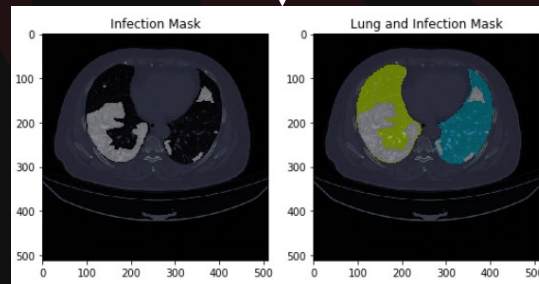
Voice Recognition



Autonomous Driving



Object Detection



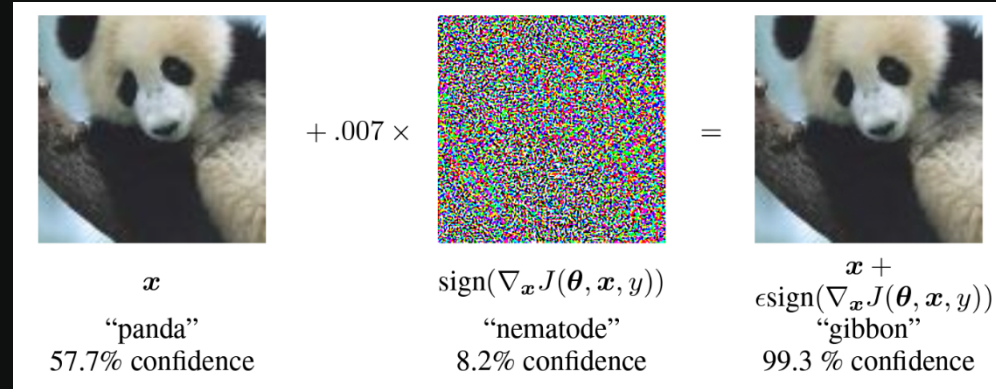
Medical Diagnostics



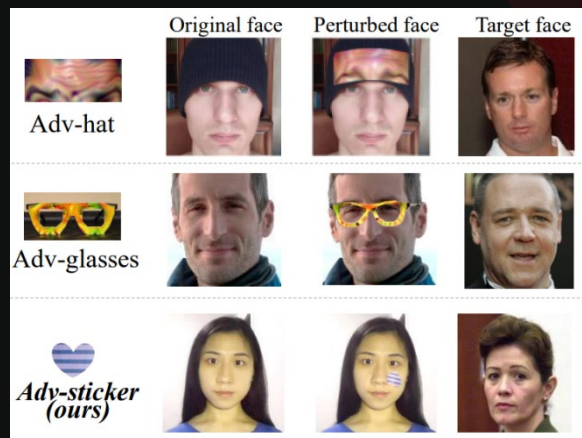
Facial Scan Payment

## ◆ Preliminaries

- Adversarial examples are **indistinguishable** from legitimate ones by adding small perturbations, but lead to **incorrect model prediction**.



- Adversarial examples bring a huge threats to AI applications.



## ◆ Preliminaries

### • How to generate Adversarial examples?

Training a Network:

$$\min_{\theta} \mathbb{E}_{(x,y) \sim \mathcal{D}} J(x, y; \theta).$$

Generating Adversarial Example:

$$\max_{\|x - x^{adv}\| < \epsilon} J(x^{adv}, y; \theta).$$

$\mathcal{D}$ : Training dataset

$J(\cdot)$ : Loss function

$x$ : Clean input

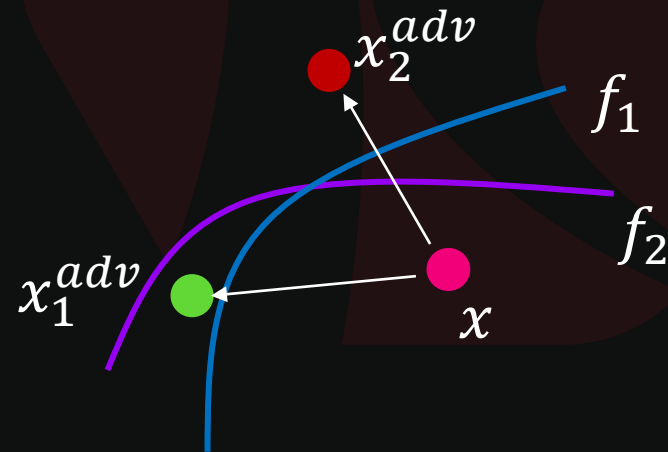
$y$ : Ground-truth label

$x^{adv}$ : Adversarial example

- **Untargeted attack:** The victim model predicts the generated adversarial example into *any incorrect categories*.
- **Targeted attack:** The victim model predicts the generated adversarial example into *a specific category*.

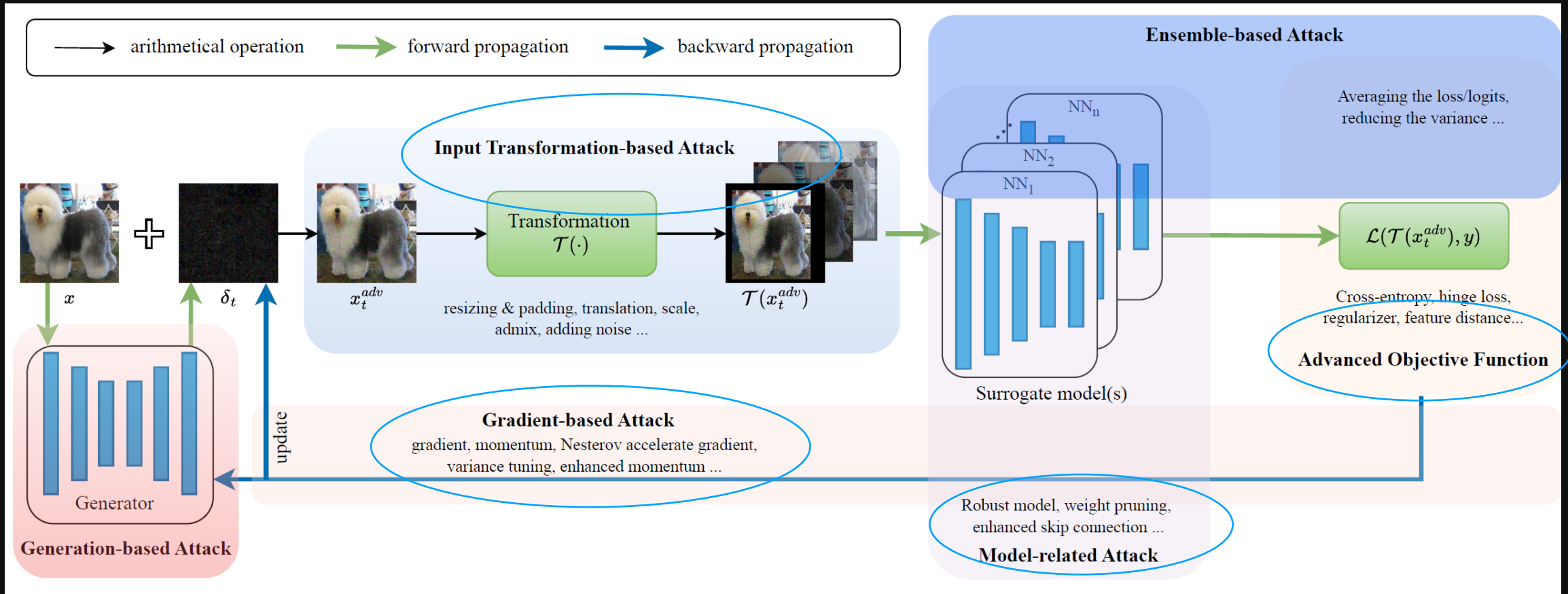
## ◆ Preliminaries

- **White-box Attack:** The attacker could access any information of victim model, *e.g.*, architecture, weights, gradients, *etc.*
- **Black-box Attack:** The attacker could access limited information of victim model.
  - **Score-based Attack:** The attacker could obtain the prediction probability.
  - **Decision-based Attack:** The attacker could obtain the prediction label.
  - **Transfer-based Attack:** The adversarial examples generated on one model could mislead other victim models.



# ◆ Preliminaries

## • Transfer-based Attacks



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# ◆ Gradient-based Attacks

- Gradient-based adversarial attacks are widely investigated:

- FGSM [Goodfellow et al., 2015]:

$$x^{adv} = x + \epsilon \cdot \text{sign}(\nabla_x J(x, y; \theta))$$

- I-FGSM [Kurakin et al., 2018]:

$$x_{t+1}^{adv} = x_t^{adv} + \alpha \cdot \text{sign}(\nabla_x J(x_t^{adv}, y; \theta))$$

- MI-FGSM [Dong et al., 2018]:

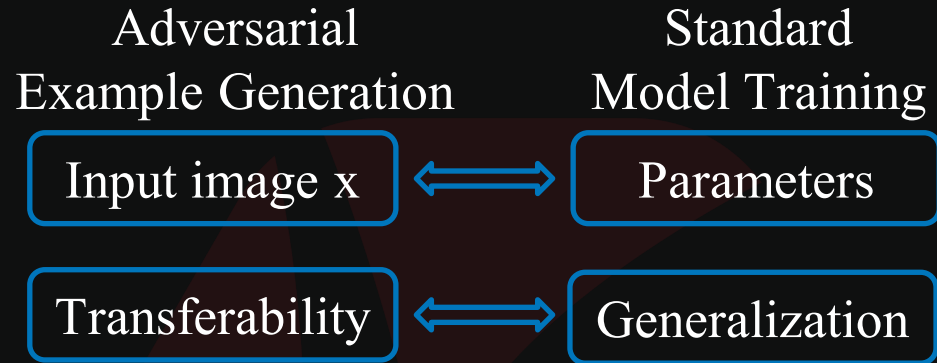
$$g_{t+1} = \mu g_t + \frac{\nabla_x J(x_t^{adv}, y; \theta)}{\|\nabla_x J(x_t^{adv}, y; \theta)\|_1}, x_{t+1}^{adv} = x_t^{adv} + \alpha \cdot \text{sign}(g_{t+1})$$

- NI-FGSM [Lin et al., 2020]:  $\bar{x}_t^{adv} = x_t^{adv} + \alpha \cdot \mu \cdot g_t$

$$g_{t+1} = \mu g_t + \frac{\nabla_x J(\bar{x}_t^{adv}, y; \theta)}{\|\nabla_x J(\bar{x}_t^{adv}, y; \theta)\|_1}, x_{t+1}^{adv} = x_t^{adv} + \alpha \cdot \text{sign}(g_{t+1})$$

# ◆ Gradient-based Attacks

- Variance Tuning (VT)



NI-FGSM finds that Nestorve Accelerated Gradient (NAG) that **accelerates the convergence** of optimization process, also **enhances the transferability**.

We treat the iterative gradient-based adversarial attack as **SGD optimization process**, in which at each iteration, the attacker always chooses the target model for update.

**SGD introduces variance due to randomness.**

# ◆ Gradient-based Attacks

- Variance Tuning (VT)

**Gradient Variance.** Given a classifier  $f$  with parameters  $\theta$  and loss function  $J(x, y; \theta)$ , an arbitrary image  $x$  and upper bound  $\epsilon'$  for the neighborhood, the gradient variance can be defined as:

$$V_{\epsilon'}^g(\mathbf{x}) = \mathbb{E}_{|x' - x|_p < \epsilon'} [\nabla_{x'} J(x', y; \theta)] - \nabla_x J(x, y; \theta).$$

In practice, we approximate the gradient variance by **sampling  $N$  examples in the neighborhood of  $x$** :

$$V(x) = \frac{1}{N} \sum_{i=1}^N \nabla_{x^i} J(x^i, y; \theta) - \nabla_x J(x, y; \theta),$$

where  $x^i = x + U[-(\beta \cdot \epsilon)^d, (\beta \cdot \epsilon)^d]$ .

At  $t$ -th iteration, we **tune the gradient of  $x_t^{adv}$  with the gradient variance at  $(t-1)$ -th iteration** to stabilize the update direction.

# ◆ Gradient-based Attacks

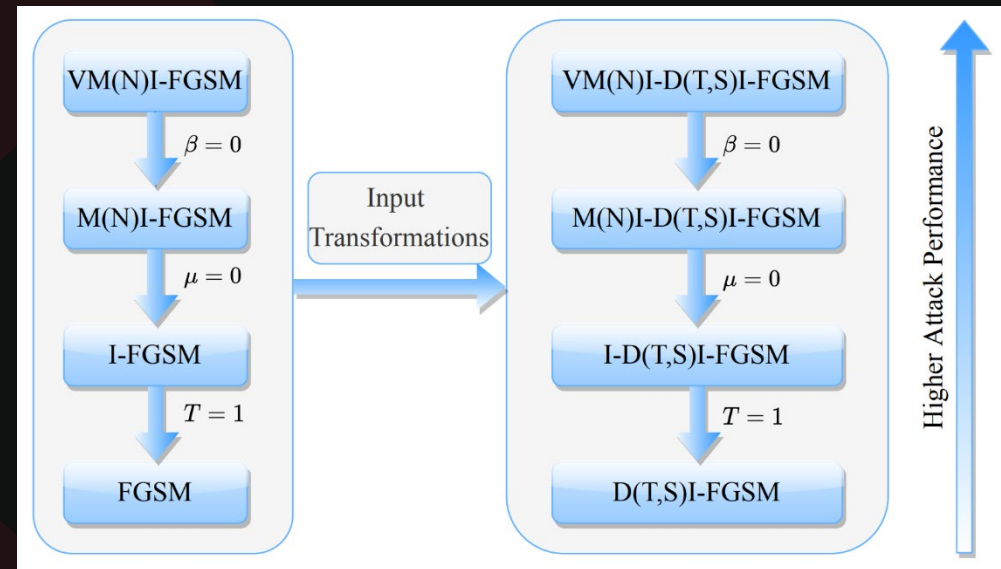
- Variance Tuning (VT)

The variance tuning is generally applicable to all iterative gradient based attacks.

VMI-FGSM:

$$g_{t+1} = \mu \cdot g_t + \frac{\nabla_{x_t^{adv}} J(x_t^{adv}, y; \theta) + \mathbf{V}(x_{t-1}^{adv})}{\|\nabla_{x_t^{adv}} J(x_t^{adv}, y; \theta) + \mathbf{V}(x_{t-1}^{adv})\|_1},$$

$$x_{t+1}^{adv} = x_t^{adv} + \alpha \cdot \text{sign}(g_{t+1})$$



# ◆ Gradient-based Attacks

- Variance Tuning (VT)

Model	Attack	Inc-v3	Inc-v4	IncRes-v2	Res-101	Inc-v3 <sub>ens3</sub>	Inc-v3 <sub>ens4</sub>	IncRes-v2 <sub>ens</sub>
Inc-v3	MI-FGSM	<b>100.0*</b>	43.6	42.4	35.7	13.1	12.8	6.2
	VMI-FGSM	<b>100.0*</b>	<b>71.7</b>	<b>68.1</b>	<b>60.2</b>	<b>32.8</b>	<b>31.2</b>	<b>17.5</b>
	NI-FGSM	<b>100.0*</b>	51.7	50.3	41.3	13.5	13.2	6.0
	VNI-FGSM	<b>100.0*</b>	<b>76.5</b>	<b>74.9</b>	<b>66.0</b>	<b>35.0</b>	<b>32.8</b>	<b>18.8</b>
Inc-v4	MI-FGSM	56.3	99.7*	46.6	41.0	16.3	14.8	7.5
	VMI-FGSM	<b>77.9</b>	<b>99.8*</b>	<b>71.2</b>	<b>62.2</b>	<b>38.2</b>	<b>38.7</b>	<b>23.2</b>
	NI-FGSM	63.1	<b>100.0*</b>	51.8	45.8	15.4	13.6	6.7
	VNI-FGSM	<b>83.4</b>	99.9*	<b>76.1</b>	<b>66.9</b>	<b>40.0</b>	<b>37.7</b>	<b>24.5</b>
IncRes-v2	MI-FGSM	60.7	51.1	<b>97.9*</b>	46.8	21.2	16.0	11.9
	VMI-FGSM	<b>77.9</b>	<b>72.1</b>	<b>97.9*</b>	<b>67.7</b>	<b>46.4</b>	<b>40.8</b>	<b>34.4</b>
	NI-FGSM	62.8	54.7	<b>99.1*</b>	46.0	20.0	15.1	9.6
	VNI-FGSM	<b>80.8</b>	<b>76.9</b>	<b>98.5*</b>	<b>69.8</b>	<b>47.9</b>	<b>40.3</b>	<b>34.2</b>
Res-101	MI-FGSM	58.1	51.6	50.5	<b>99.3*</b>	23.9	21.5	12.7
	VMI-FGSM	<b>75.1</b>	<b>68.9</b>	<b>70.5</b>	99.2*	<b>45.2</b>	<b>41.4</b>	<b>30.1</b>
	NI-FGSM	65.6	58.3	57.0	99.4*	24.5	21.4	11.7
	VNI-FGSM	<b>79.8</b>	<b>74.6</b>	<b>73.2</b>	<b>99.7*</b>	<b>46.1</b>	<b>42.5</b>	<b>32.1</b>

Table 1: The success rates (%) on seven models in the single model setting by various gradient-based iterative attacks. The adversarial examples are crafted on Inc-v3, Inc-v4, IncRes-v2, and Res-101 respectively. \* indicates the white-box model.

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# ◆ Input Transformation-based Attacks

- **Similar to data augmentation in training, input transformation can enhance the diversity of image, thus boosting adversarial transferability.**
  - **DIM** [Xie et al., 2019]: Randomly resize the image and add padding for gradient calculation.
  - **TIM** [Dong et al., 2019]: Accumulate the gradient on a set of translated images. To approximate this process, TIM convolves the gradient of original image with a predefined kernel.
  - **SIM** [Lin et al., 2020]: Accumulate the gradient on a set of scaled images.
  - **Admix** [Wang et al., 2021]: Mixup the image with the images from other categories for gradient calculation.
  - **SSA** [Long et al., 2022]: Add noise and randomly mask the elements in the frequency domain to generate several images for gradient calculation.

Xie et al. Improving Transferability of Adversarial Examples with Input Diversity. CVPR 2019.

Dong et al. Evading Defenses to Transferable Adversarial Examples by Translation-Invariant Attacks. CVPR 2019.

Lin et al. Nesterov Accelerated Gradient and Scale Invariance for Adversarial Attacks. ICLR 2020.

Wang et al. Admix: Enhancing the Transferability of Adversarial Attacks. ICCV 2021.

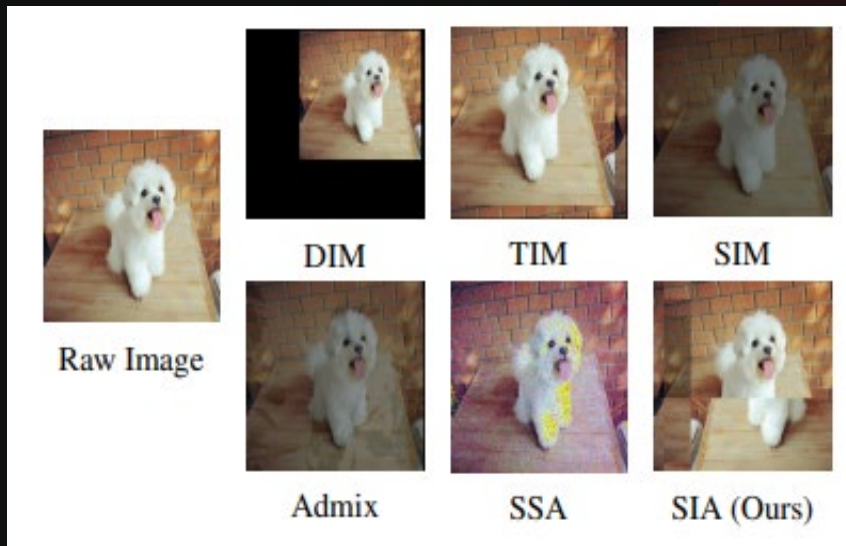
Long et al. Frequency Domain Model Augmentation for Adversarial Attack. ECCV 2022.

# ◆ Input Transformation-based Attacks

- Structure Invariant Attack (SIA)

**Assumption:** The more diverse the transformed images are, the **better transferability** the adversarial examples have.

$$\text{LPIPS}(x, \hat{x}) = \frac{1}{H \times W} \sum_l \sum_{h,w} \|z_{h,w}^l - \hat{z}_{h,w}^l\|_2$$



	TIM	DIM	SIM	SSA	<i>Admix</i>
Transferability	57.4	77.6	79.3	80.6	83.6
LPIPS	0.25	0.43	0.48	0.54	0.73

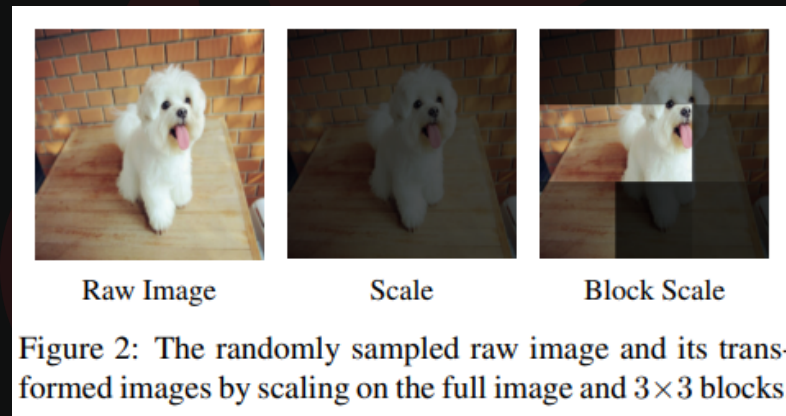
Table 1: The transferability of TIM, DIM, SIM, *Admix*, SSA, and similarity between 1,000 images and the transformed images evaluated by LPIPS. The transferability is evaluated by the attack success rate of Inception-v3 on the adversarial examples generated on ResNet-18 .



# ◆ Input Transformation-based Attacks

- Structure Invariant Attack (SIA)

**Structure of Image:** Given an image  $x$ , which is randomly split into  $s \times s$  blocks, the relative relation between each anchor point is the structure of image, where the anchor point is the center of the image block.

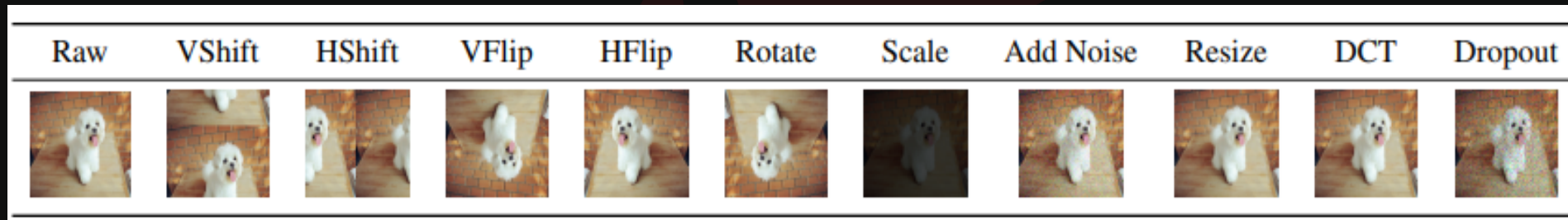


The structure of image depicts important semantic information for human recognition. Scaling the image blocks with various factors does not change the structure of image so that the generated image can be correctly recognized by humans as well as deep models.

# ◆ Input Transformation-based Attacks

- **Structure Invariant Attack (SIA)**

To improve the diversity and maintain the semantic information, we apply **various image transformations** to **different image blocks**, denoted as Structure Invariant Transformation (SIT).



- The proposed transformation significantly improves the diversity but maintains the structure invariance.
- The proposed transformation can be integrated into existing gradient-based methods.
- The gradient is computed on several transformed images.

# ◆ Input Transformation-based Attacks

- Structure Invariant Attack (SIA)

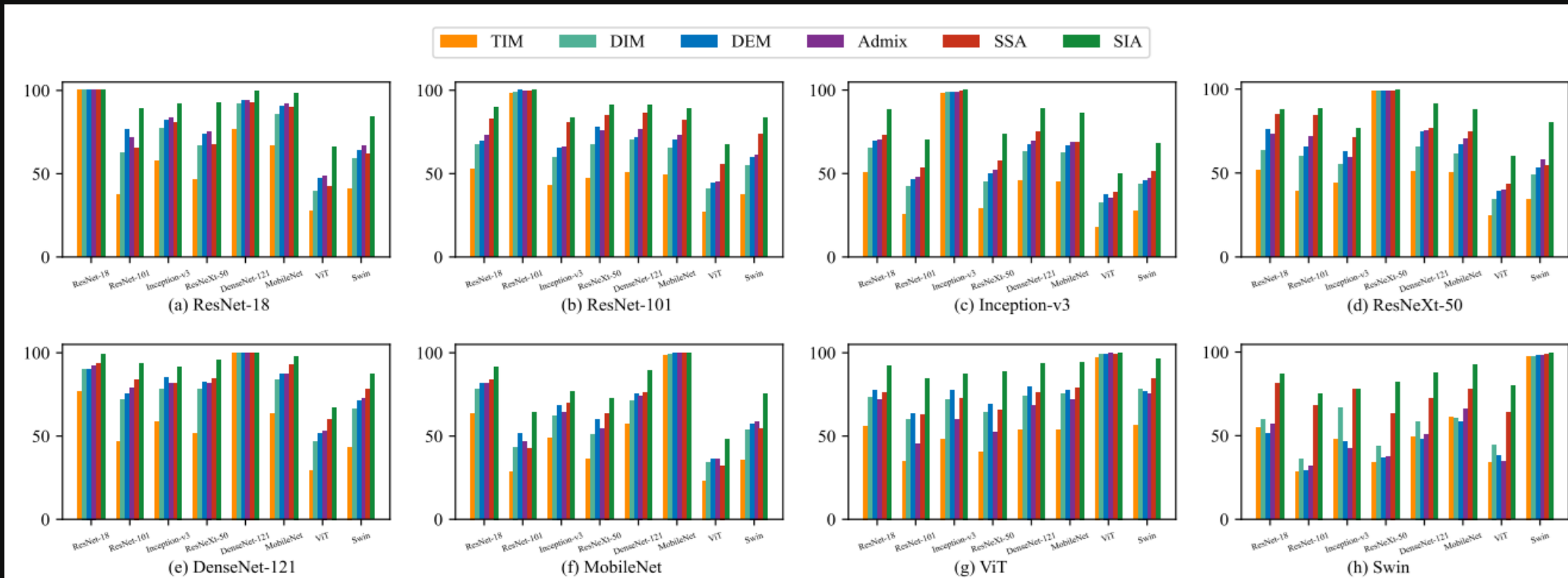


Figure 3: Attack success rates (%) of eight deep models on the adversarial examples crafted on each model by TIM, DIM, DEM, *Admix*, SSA, and SIA.

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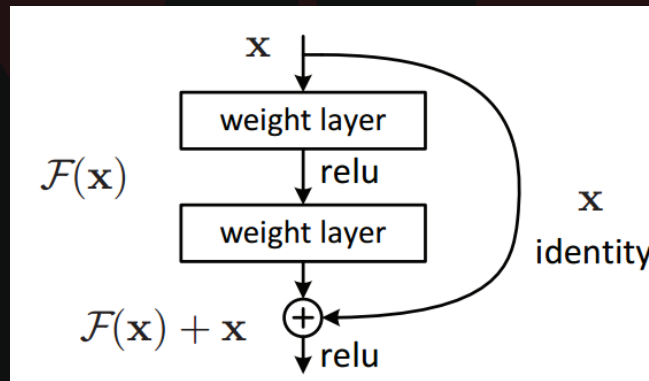
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# ◆ Model-related Attacks

- **Modifying the surrogate model to boost adversarial transferability.**
  - **Ghost Network** [Li et al., 2020]: Densely add dropout layer and randomly scale the feature passing the skip connection of ResNets.
  - **SGM** [Wu et al., 2020]: Adopt more gradient from the skip connections instead of the residual modules using a decay factor for backpropagation.
  - **LinBP** [Guo et al., 2020]: Adopt constant value as the gradient of ReLU activation and modify the gradient of residual modules to makes backpropagation more linear.



# ◆ Model-related Attacks

- **Backward Propagation Attack (BPA)**

Backpropagation follows the chain rule:

$$\frac{\partial J(x, y; \theta)}{\partial x} = \frac{\partial J(x, y; \theta)}{\partial f_{l+1}(z_l)} \left( \prod_{i=k+1}^l \frac{\partial f_{i+1}(z_i)}{\partial z_i} \right) \frac{\partial z_{k+1}}{\partial z_k} \frac{\partial z_k}{\partial x}$$

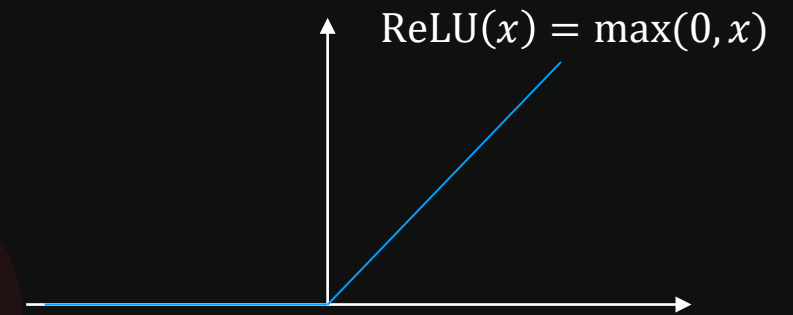
Non-linear layers result in the truncation of gradients *w.r.t.* images.

- ReLU activation function

$$\frac{\partial z_{i+1}}{\partial z_i} = \begin{cases} 1 & \text{if } z_i > 0 \\ 0 & \text{otherwise} \end{cases}$$

- Maxpooling layer

$$\frac{\partial z_{i+1}}{\partial z_i} = \begin{cases} 1 & \text{if } z_i \text{ is the maximum value in the window} \\ 0 & \text{otherwise} \end{cases}$$



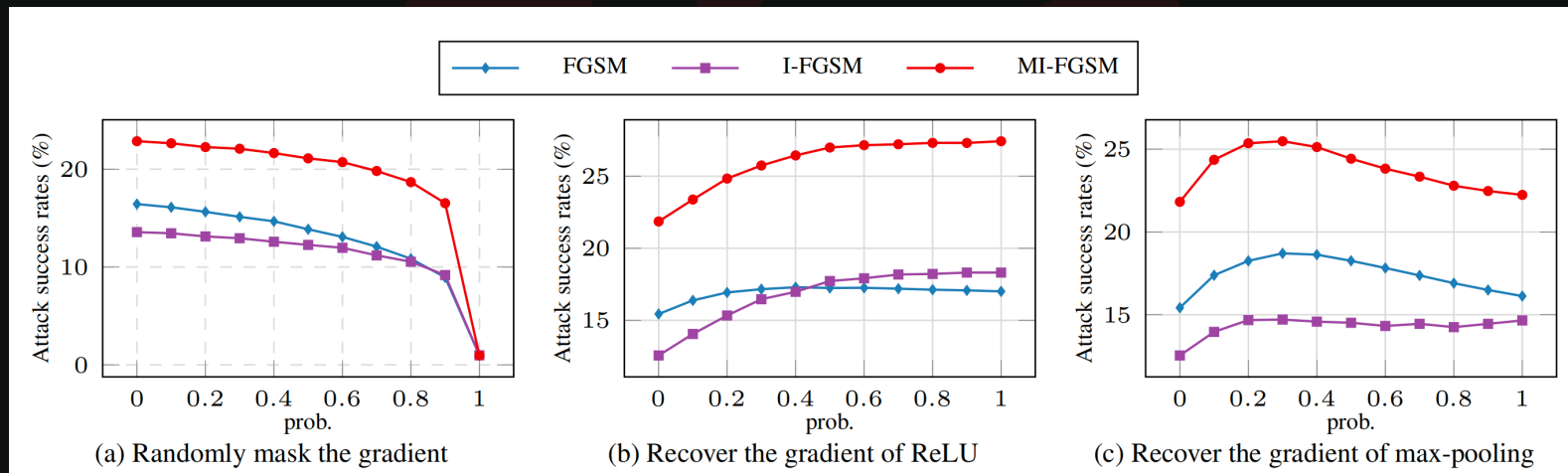
0.1	-0.2	1.9	1.4
0.0	-0.5	2.3	0.7
-0.4	0.9	1.0	-2.0
0.7	0.6	0.5	1.7

# ◆ Model-related Attacks

- **Backward Propagation Attack (BPA)**

**Assumption:** The truncation of gradient introduced by non-linear layers in the backward propagation process decays the adversarial transferability.

- Randomly mask the gradient to introduce more truncation.
- Randomly replace the zeros in the gradient of ReLU or maxpooling layers with ones



**Gradient Truncation decays the transferability!**

# ◆ Model-related Attacks

## • Backward Propagation Attack (BPA)

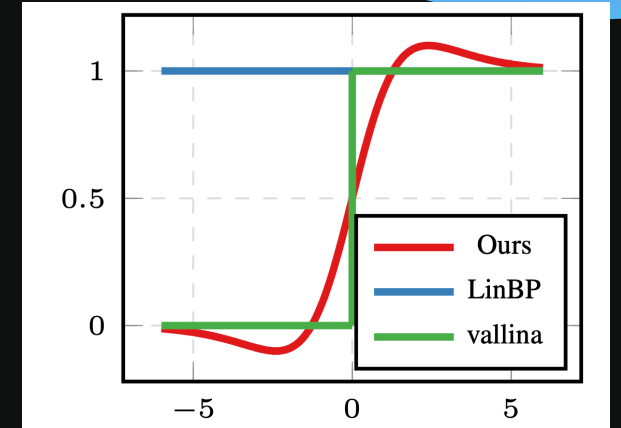
Recover the truncated gradient for better transferability:

- Replace the gradient of ReLU with that of SiLU

$$\frac{\partial z_{i+1}}{\partial z_i} = \sigma(z_i) \left( 1 + z_i \cdot (1 - \sigma(z_i)) \right)$$

- Adopting the Softmax function to calculate the gradient within each window  $w$  of the max-pooling:

$$\left[ \frac{\partial z_{k+1}}{\partial z_k} \right]_{i,j,w} = \frac{e^{t \cdot z_{k,i,j}}}{\sum_{v \in w} e^{t \cdot v}}$$



0.1	-0.2	1.9	1.4
0.0	-0.5	2.3	0.7
-0.4	0.9	1.0	-2.0
0.7	0.6	0.5	1.7



# ◆ Model-related Attacks

- Backward Propagation Attack (BPA)

Attacker	Method	Inc-v3	IncRes-v2	DenseNet	MobileNet	PNASNet	SENet	Inc-v3 <sub>ens3</sub>	Inc-v3 <sub>ens4</sub>	IncRes-v2 <sub>ens</sub>
PGD	N/A	16.34	13.38	36.86	36.12	13.46	17.14	10.24	9.46	5.52
	SGM	23.68	19.82	51.66	55.44	22.12	30.34	13.78	12.38	7.90
	LinBP	27.22	23.04	59.34	59.74	22.68	33.72	16.24	13.58	7.88
	Ghost	17.74	13.68	42.36	41.06	13.92	19.10	11.60	10.34	6.04
	BPA	<b>35.36</b>	<b>30.12</b>	<b>70.70</b>	<b>68.90</b>	<b>32.52</b>	<b>42.02</b>	<b>22.72</b>	<b>19.28</b>	<b>12.40</b>
MI-FGSM	N/A	26.20	21.50	51.50	49.68	22.92	30.12	16.22	14.58	9.00
	SGM	33.78	28.84	63.06	65.84	31.90	41.54	19.56	17.48	10.98
	LinBP	35.92	29.82	68.66	69.72	30.24	41.68	19.98	16.58	9.94
	Ghost	29.76	23.68	57.28	56.10	25.00	34.76	17.10	14.76	9.50
	BPA	<b>47.58</b>	<b>41.22</b>	<b>80.54</b>	<b>79.40</b>	<b>44.70</b>	<b>54.28</b>	<b>32.06</b>	<b>25.98</b>	<b>17.46</b>
VMI-FGSM	N/A	42.68	36.86	68.82	66.68	40.78	46.34	27.36	24.20	17.18
	SGM	50.04	44.28	77.56	79.34	48.58	56.86	32.22	27.72	19.66
	LinBP	47.70	40.40	77.44	78.76	41.48	52.10	28.58	24.06	16.60
	Ghost	47.82	41.42	75.98	73.40	44.84	52.78	30.84	27.18	19.08
	BPA	<b>55.00</b>	<b>48.72</b>	<b>85.44</b>	<b>83.64</b>	<b>52.02</b>	<b>60.88</b>	<b>38.76</b>	<b>33.70</b>	<b>23.78</b>
ILA	N/A	29.10	26.08	58.02	59.10	27.60	39.16	15.12	12.30	7.86
	SGM	35.64	32.34	65.20	71.22	34.20	46.72	17.10	13.86	9.08
	LinBP	37.36	34.24	71.98	72.84	35.12	48.80	19.38	14.10	9.28
	Ghost	30.06	26.50	60.52	61.74	28.68	40.46	14.84	12.54	7.90
	BPA	<b>47.62</b>	<b>43.50</b>	<b>81.74</b>	<b>80.88</b>	<b>47.88</b>	<b>60.64</b>	<b>27.94</b>	<b>20.64</b>	<b>14.76</b>
SSA	N/A	35.78	29.58	60.46	64.70	25.66	34.18	20.64	17.30	11.44
	SGM	45.22	38.98	70.22	78.44	35.30	46.06	26.28	21.64	14.50
	LinBP	48.48	41.90	75.02	78.30	36.66	49.58	28.76	23.64	15.46
	Ghost	36.44	28.62	61.12	66.80	24.90	33.98	20.58	16.84	10.82
	BPA	<b>51.36</b>	<b>44.70</b>	<b>76.24</b>	<b>79.66</b>	<b>39.38</b>	<b>50.00</b>	<b>32.10</b>	<b>26.44</b>	<b>18.20</b>

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# ◆ Advanced Objective Functions

- Several attacks disrupt the high-level features:

- **FIA** [Wang et al., 2021]: Adopt aggregate gradient to highlight important features:

$$\bar{\Delta}_k^x = \frac{1}{C} \sum_{n=1}^N \frac{\partial J(x \odot M_p^n, y; \theta)}{\partial f_k(x \odot M_p^n)}, M_p \sim \text{Bernoulli}(1 - p), L(x) = \sum (\bar{\Delta}_k^x \odot f_k(x))$$

- **RPA** [Zhang et al., 2022]: Instead of randomly masking the pixels, RPA randomly split the image into patches, which will be randomly masked for calculating the weight matrix.

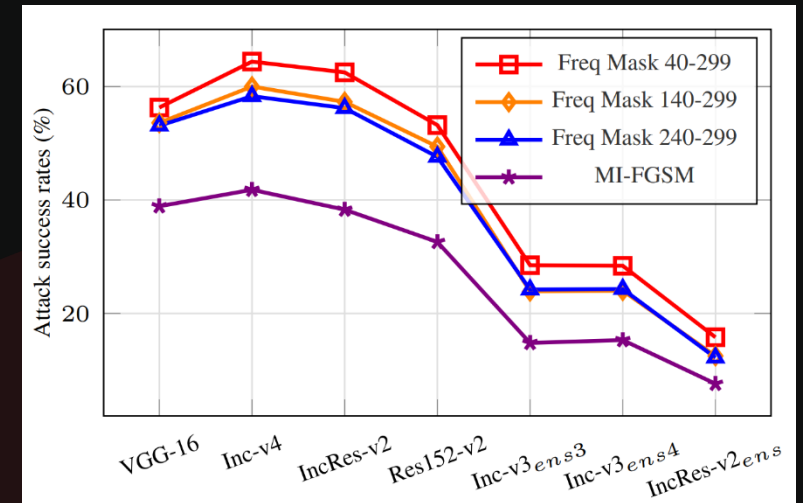
- **NAA** [Zhang et al., 2022]: Adopt integrated gradients for neuron attribution:

$$\bar{\Delta}_k^x = \frac{1}{N} \sum_{n=1}^N \frac{\partial J\left(x' + \frac{n}{N}(x - x'), y; \theta\right)}{\partial f_k\left(x' + \frac{n}{N}(x - x')\right)}, L(x) = \sum |\bar{\Delta}_k^x \odot (f_k(x) - f_k(x'))|$$

# ◆ Advanced Objective Functions

- Semantic and Abstract FEatures disRUption (SAFER)

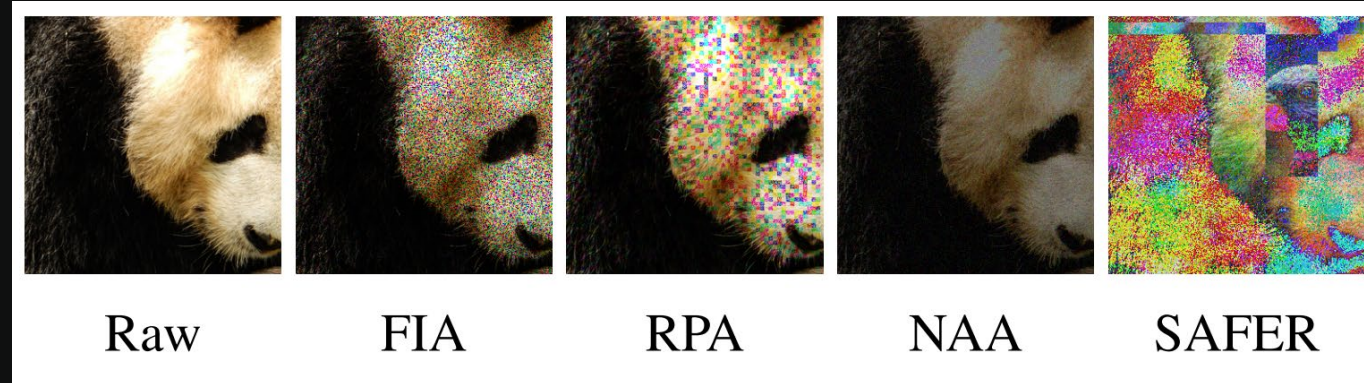
DNNs usually focus more on high-frequency components (*e.g.*, texture, edge)



High frequency components are beneficial for boosting adversarial transferability!

# ◆ Advanced Objective Functions

- Semantic and Abstract FEatures disRUption (SAFER)



Randomly perturbing the semantic and abstract features:

$$\text{Blockmix: } B(x, x') = \begin{cases} x_{i,j} & \text{with the probability } p \\ x'_{i,j} & \text{with the probability } 1 - p \end{cases}$$

$$\text{Frequency Perturbation: } FP(x) = \mathcal{D}_I(\mathcal{D}(x + \xi) \odot \mathcal{M})$$

$$\mathbf{x}_{SAFER} = FP(B(x, x')), \bar{\Delta}_k^x = \frac{1}{C} \sum_{n=1}^N \frac{\partial J(\mathbf{x}_{SAFER}, y; \theta)}{\partial f_k(\mathbf{x}_{SAFER})}, L(x) = \sum (\bar{\Delta}_k^x \odot f_k(x))$$

# ◆ Advanced Objective Functions

- Semantic and Abstract FEatures disRruption (SAFER)

Model	Attack	Inc-v3	Inc-v4	IncRes-v2	Res-152	VGG-16	Inc-v3 <sub>ens3</sub>	Inc-v3 <sub>ens4</sub>	IncRes-v2 <sub>ens</sub>
Inc-v3	MIM	<b>100.0*</b>	42.4	39.8	33.0	39.6	15.4	15.9	7.7
	FIA	98.3*	83.3	80.1	72.4	71.4	43.3	43.6	23.5
	RPA	97.9*	84.1	82.4	77.7	75.7	44.8	45.0	25.7
	NAA	97.0*	82.9	81.3	74.7	70.1	49.9	50.2	30.2
	SAFER	98.7*	<b>87.7</b>	<b>86.7</b>	<b>80.4</b>	<b>80.0</b>	<b>52.1</b>	<b>52.6</b>	<b>32.2</b>
Inc-v4	MIM	59.7	<b>100.0*</b>	45.3	38.8	47.7	18.5	18.3	9.2
	FIA	75.0	90.2*	70.4	65.2	65.5	39.4	39.2	23.8
	RPA	79.1	92.8*	75.2	69.0	70.2	44.2	43.5	25.7
	NAA	81.8	96.1*	76.1	71.4	70.2	47.2	45.7	31.2
	SAFER	<b>86.9</b>	97.6*	<b>83.5</b>	<b>79.4</b>	<b>80.0</b>	<b>51.9</b>	<b>50.5</b>	<b>32.0</b>
Res-152	MIM	52.6	47.8	44.9	<b>99.5*</b>	50.3	24.5	24.3	12.0
	FIA	80.6	78.6	77.6	98.2*	75.9	52.9	48.6	34.0
	RPA	81.4	80.1	80.2	98.0*	76.4	56.4	50.8	37.6
	NAA	83.9	82.2	80.4	97.5*	78.7	59.5	56.3	43.5
	SAFER	<b>87.6</b>	<b>86.2</b>	<b>86.2</b>	99.1*	<b>83.9</b>	<b>61.9</b>	<b>58.2</b>	<b>44.7</b>
VGG-16	MIM	83.0	81.6	76.4	79.5	100.0*	76.6	73.2	62.2
	FIA	95.7	96.7	94.3	94.2	100.0*	91.8	92.3	86.6
	RPA	96.2	96.3	93.4	94.1	100.0*	92.5	93.2	88.3
	NAA	94.5	93.4	91.1	92.3	98.3*	91.1	90.3	82.6
	SAFER	<b>98.0</b>	<b>97.3</b>	<b>95.8</b>	<b>95.6</b>	<b>100.0*</b>	<b>93.9</b>	<b>93.7</b>	<b>90.4</b>

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6 **Further Discussion & Conclusion**

# ◆ Further Discussion & Conclusion

- TransferAttack: a benchmark containing more than 60 transfer-based attack methods

TransferAttack (Private)

Unwatch 1 Fork 4 Star 0

main 1 branch 0 tags

Go to file Add file Code

Zhijin-Ge Update \_\_init\_\_.py 4bebd30 last week 260 commits

defense	update defense shell code	2 months ago
transferattack	Update __init__.py	last week
.gitignore	update .gitignore, solve merge conflict	2 weeks ago
README.md	Update README.md	2 weeks ago
log.md	v2.2.0	last year
main.py	add timm mean/std from train cfg	2 weeks ago
main_ens.py	update svre	7 months ago
main_tar.py	upload lpm & set pretrained=True	last month
requirements.txt	add pip requirements.txt	2 weeks ago

README.md

## TransferAttack: A Benchmark for Adversarial Transferability on Image Classification

### Requirements

- Python >= 3.6
- PyTorch >= 1.12.1
- Torchvision >= 0.13.1
- timm >= 0.6.12
- scikit-optimize, matplotlib for iaa
- pytorch3d for odi

About

TransferAttack: A Benchmark for Adversarial Transferability on Image Classification

Readme Activity 0 stars 1 watching 4 forks

Releases

No releases published  
[Create a new release](#)

Packages

No packages published  
[Publish your first package](#)

Contributors 6

Languages

Python 99.6% Shell 0.4%

Suggested Workflows

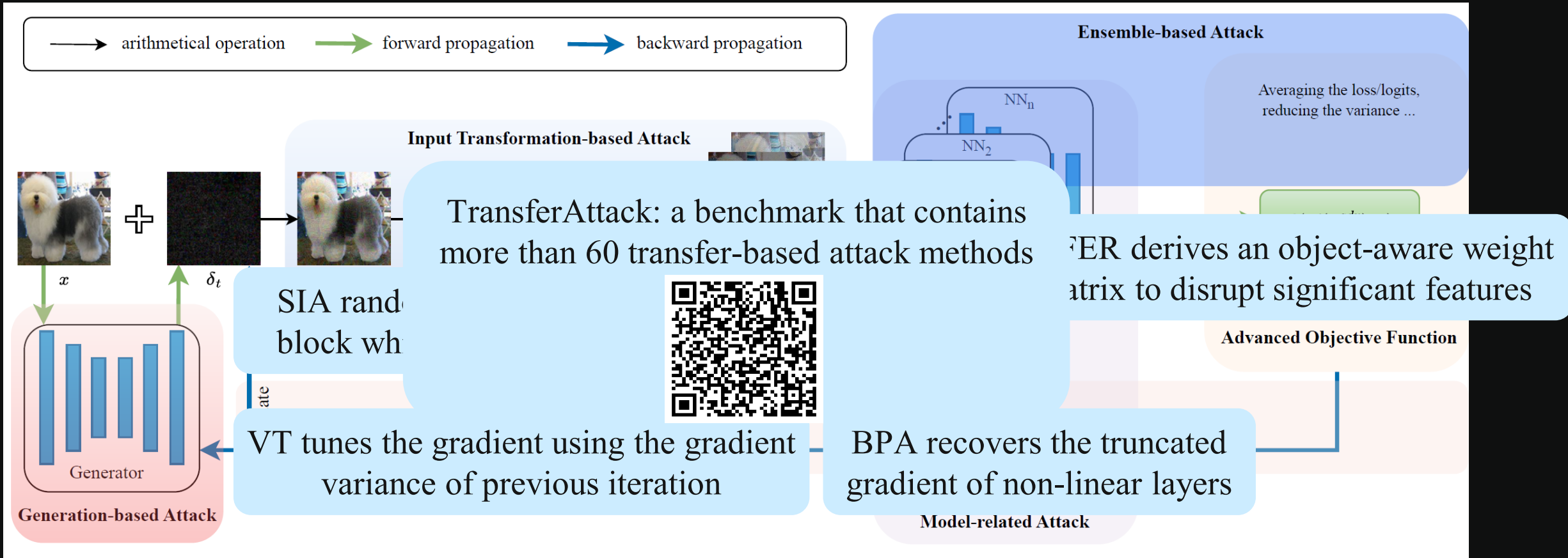
Based on your tech stack



The framework will be released soon!



# ◆ Further Discussion & Conclusion



Thanks for your Attention!  
Q&A

